

15138 수치해석 Project #1

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Review: SVD, Eigenfaces

- SVD
 - A method to find vector basis
 - Can be applied to **general matrices**
 - Considering vector distribution
 - > **efficient**
 - Compact representation of vector space
 - Small number of **dominant** basis vectors
 - Pattern recognition, Data compression

- Eigenfaces
 - Basis vectors of the face images
 - Each pixel is an element of the face vector
 - Face Recognition (Synthesis)
 - Linear combination of eigenface vectors



Introduction to the Assignment

- Singular Value Decomposition (SVD) of face images
 - Collect dataset, find eigenfaces by SVD
- Synthesis of face images using the eigenfaces
- Experiments of face recognition
- Analysis and Conclusion

Strategy

- Step 1** Collect dataset (face images)
- (More images than vector dimension if possible)
- Step 2** Construct data matrix A
- Find the mean vector and subtract from each face vector
 - Covariance matrix = $A^T A$ (or AA^T if $n > \text{dim}$)
- Apply SVD
- Find eigenfaces
- Step 3** Reconstruct collected face images using eigenvectors
- Step 4** Compare coefficients

Step 1: Collect dataset



< Collected face images: 36 persons shown >

< 64 images of person #1 >

- Yale_B dataset
 - Total 38 persons of different races
(Use 36 of them for training)
 - About 64 images of each person
with different lightings
-> 2282 face vectors
 - Resolution: 192x168 (pixels)
-> face vector: 32256-dimension
- # of images < dimension of face vector
 - Covariance matrix = $A^T A$

Step 2 : Construct data matrix A, Apply SVD

```
trainingFaces = faces(:,1:sum(nfaces(1:36)));  
avgFace = mean(trainingFaces,2);  
A = trainingFaces-avgFace*ones(1,size(trainingFaces,2));  
[U,S,V] = svd(A,'econ');
```

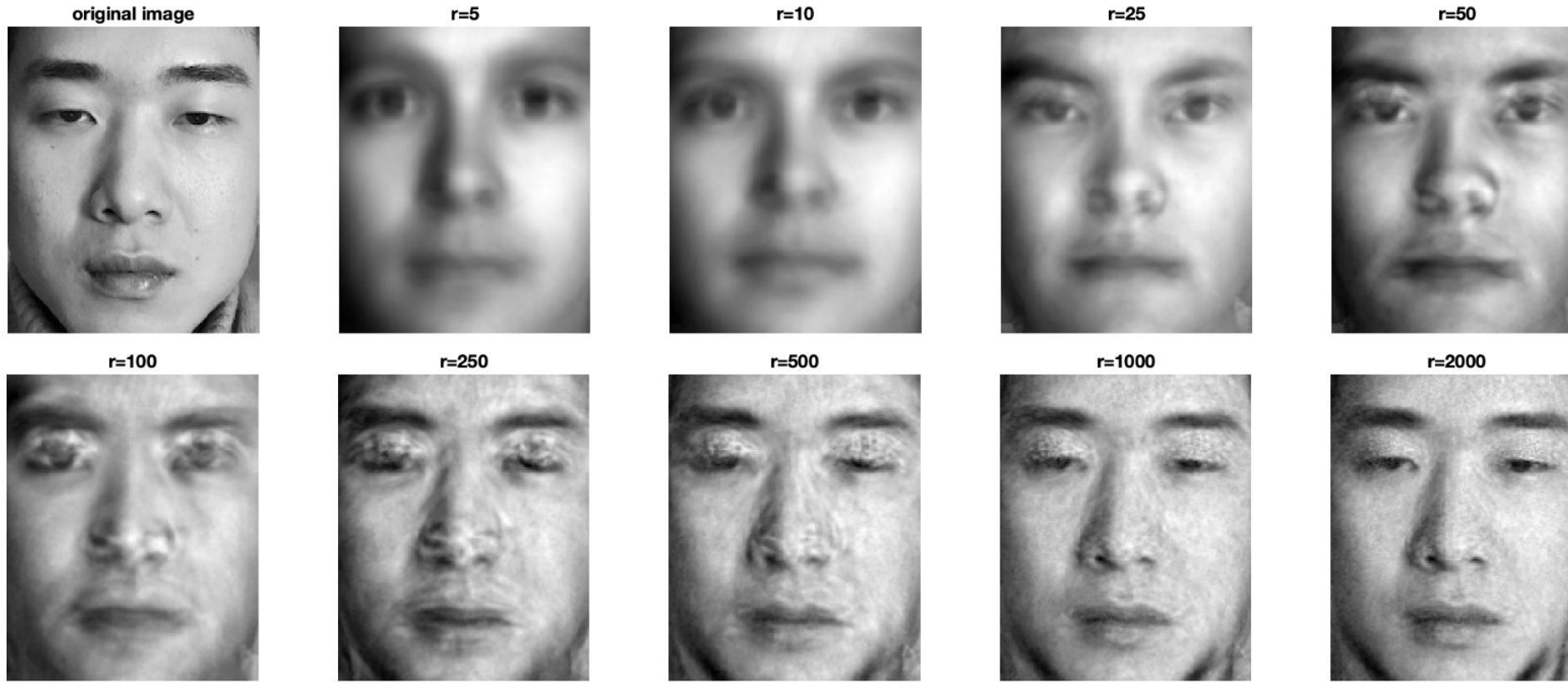


⟨ Mean vector = average face ⟩



⟨ The first 36 eigenfaces ⟩

Step 3: Test face recognition - Reconstruction



- Reconstruction was successful when $r > 1000$ in general

< Face reconstruction >

Step 4: Test face recognition - Comparing coefficients

```
P1num = 37; % person number 37
P2num = 38; % person number 38
P1 = faces(:,1+sum(nfaces(1:P1num-1)):sum(nfaces(1:P1num)));
P2 = faces(:,1+sum(nfaces(1:P2num-1)):sum(nfaces(1:P2num)));
P1ms = P1 - avgFace*ones(1,size(P1,2)); % subtract mean vector
P2ms = P2 - avgFace*ones(1,size(P2,2));

figure;
subplot(1,2,1), imagesc(reshape(P1(:,1),n,m)); colormap gray, axis off
pbaspect ([168 192 1])
subplot(1,2,2), imagesc(reshape(P2(:,1),n,m)); colormap gray, axis off
pbaspect ([168 192 1])

% project onto eigenfaces
PCAmodes = [6 3];

PCACoordsP1 = U(:,PCAmodes)'*P1ms;
PCACoordsP2 = U(:,PCAmodes)'*P2ms;

figure;
plot(PCACoordsP1(1,:),PCACoordsP1(2,:), 'kd', 'MarkerFaceColor','k')
axis ([-6000 6000 -6000 6000]), hold on, grid on
plot(PCACoordsP2(1,:),PCACoordsP2(2,:), 'r^', 'MarkerFaceColor','r')

xlabel(['c ' num2str(PCAmodes(1))])
ylabel(['c ' num2str(PCAmodes(2))])
```

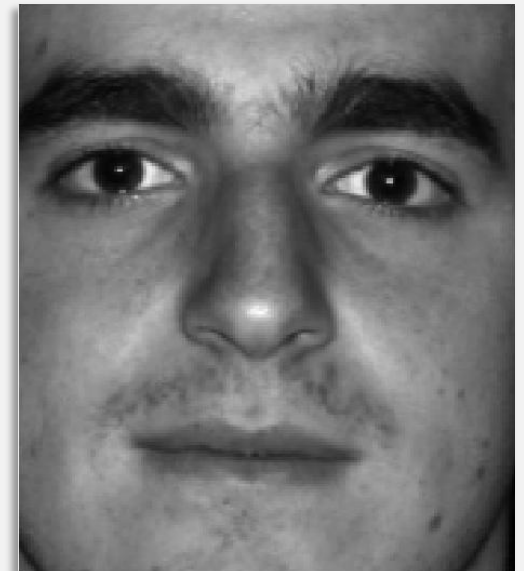
< Implementation: comparing coefficients >

- Comparing two persons out of training set
- Choose two arbitrary coefficients c_i, c_j (projection onto two eigenfaces) to plot (for all 64 faces of each person)

<- Projection of each person's each face vector onto two chosen eigenfaces

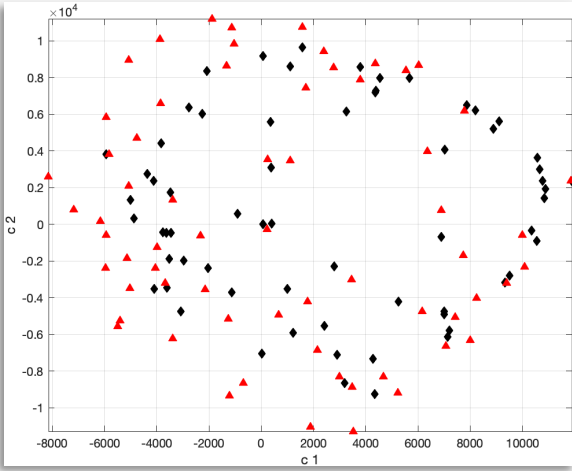


< Person #37 >

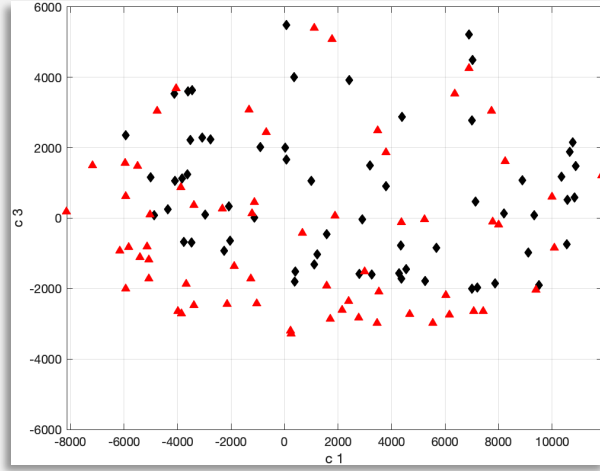


< Person #38 >

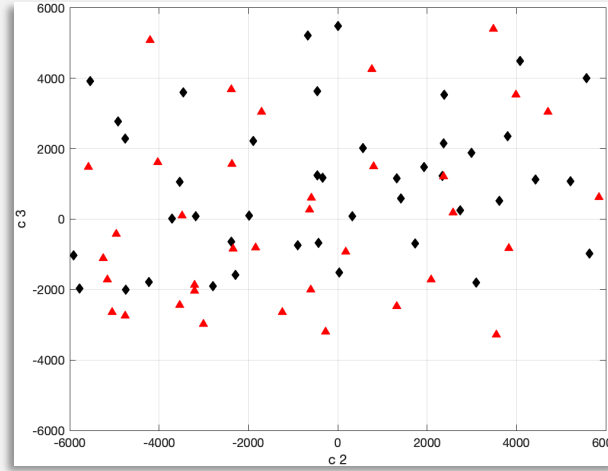
Step 4: Test face recognition - Comparing coefficients



< Plotting c1 & c2 >

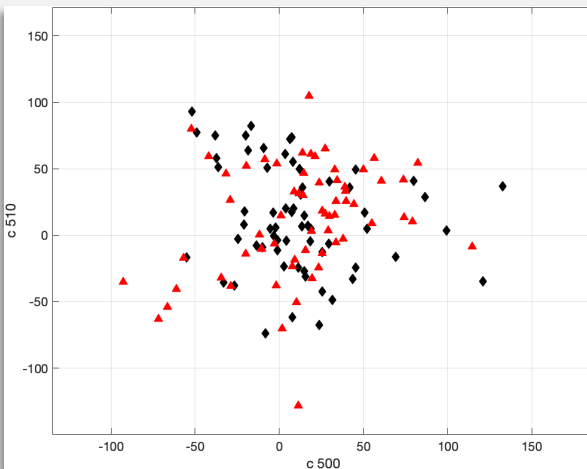


< Plotting c1 & c3 >

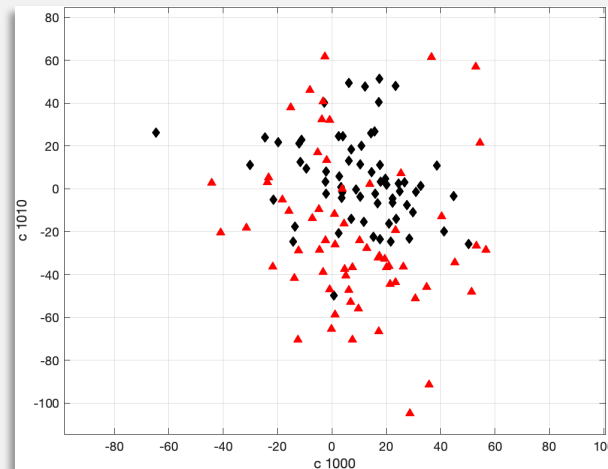


< Plotting c2 & c3 >

Black: person #37
Red: person #38



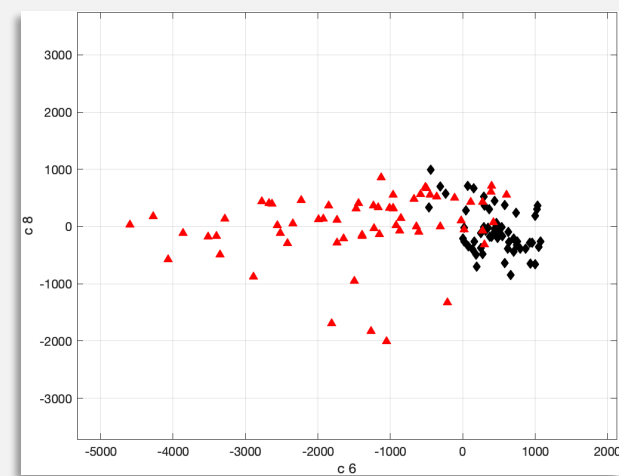
< Plotting c500 & c510 >



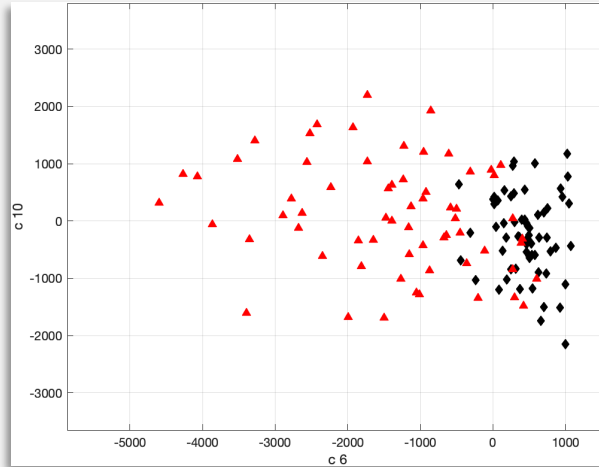
< Plotting c1000 & c1010 >

- Unsuccessful recognition if i and j are too small
-> commonly dominant features in both faces
- Unsuccessful recognition if i and j are too big
-> commonly minor features in both faces

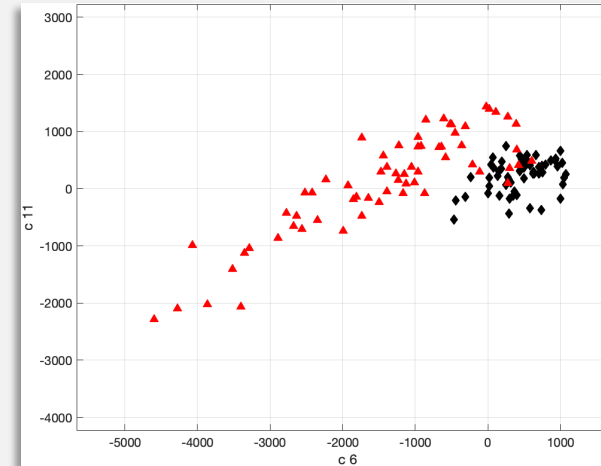
Step 4: Test face recognition - Comparing coefficients



< Plotting c6 & c8 >



< Plotting c6 & c10 >



< Plotting c6 & c11 >

Black: person #37
Red: person #38

- **Successful** if $i = 6$
The 6th eigenface is the **dominant difference** between the faces of person #37 and #38



< Person #37 >



< Person #38 >



< The 6th dominant eigenface >

(placement of the eyebrows)

Analysis and Conclusion

- 2282 column vectors in 32256 dimension
 - > reconstruction was generally successful when $r > 1000$
- Face recognition: 다양한 방법으로 coefficient를 비교할 수 있지만 여기서 사용한 방법은 두 사람의 coefficient의 **isolation**이 이루어지는지 확인함.
 - 두 사람 중 어떤 사람인지 모르는 이미지가 주어졌을 때 같은 방법으로 plot한 뒤 위치에 따라 판단 가능.
 - 여기선 coefficient 두개만 선택하여 2차원 평면 위에서 비교했지만, 3차원 또는 그 이상으로도 확장 가능.
 - 공통적으로 dominant하거나 minor한 eigenface의 계수로는 유의미한 분리가 이루어지지 않음.
 - 어떤 dataset을 이용하는지에 따라 비중 있게 다뤄야 할 coefficient가 변할 수 있음.
Ex) 사람에 따라, 그림자에 따라, 이미지가 crop된 형태에 따라, etc.